D-410

## STATES PATENT AND TRADEMARK OFFICE PATENT

In re application of:

MARMO ET AL.

Group Art Unit: 3738

Serial No. 10/661,400

Examiner: N/A

Filed: September 12, 2003

For: DEVICES AND METHODS FOR IMPROVING VISION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on or before

### INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicant wishes to call to the attention of the Examiner the documents cited on the accompanying Form PTO-1449. No concession is made that these documents are prior art, and applicant expressly reserves the right to antedate the documents as may be appropriate. This Information Disclosure Statement is being filed before the first office action has been received from the Patent and Trademark Office in the above-identified application.

Respectfully submitted,

Attorney for Applicant

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FJUxa/ac

**FILING DATE** 

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Form PTO-1449

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# INFORMATION DISCLOSURE CITATION NAN APPLICATION (User & GENNAS Princessary)

DOCUMENT NUMBER

5044743

6585375

US2001/0018612

Applicant: Marmo et al.

Docket No.: 4108

Application No.: 10/661,400

Filing Date: 09/12/03

Group Art Unit: 3738

SUBCLASS

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U. S. PATENT DOCUMENTS					
DATE		NAME	CLASS		
09/03/9	1	Ting			
12/15/9	2	Gibson et al.			
03/09/9	3	Ting			

5171318	12/15/92	Gibson et al.
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## FOREIGN PATENT DOCUMENTS

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DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO
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WO 99/37752	07/29/99	WIPO				
WO 00/07525	02/17/00	WIPO				

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AB	Han et al., "A fibrin-based bioengineered ocular surface with human corneal epithelial stem cells", Cornea, Vol. 21 No. 5 2002, pg 505-510.
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AD	Ophthalmology Times, "New LASIK device: CIBA vision to market subepithelial seperator", August 1, 2002.
AE	Online article, Ciba Vision website, "research and development" "Sub-epithelial Seperator", no date given.

**EXAMINER** 

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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

FILING DATE IF APPROPRIATE

TRANSLATION

Docket No.: 4108 Application No.: 10/661,400 Form PTO-1449 Applicant: Marmo et al. INFORMATION DISCLOSURE CITATION Filing Date: 09/12/03 Group Art Unit: 3738 IN AN APPLICATION (Use several sheets if necessary) **U. S. PATENT DOCUMENTS EXAMINER DOCUMENT NUMBER** NAME CLASS SUBCLASS US2001/0047203 11/29/01 Dalton et al. US2002/0007217 01/17/02 Jacob et al. US2002/0039788 04/04/02 Isseroff et al. US2002/0052596 05/02/02 Pallikaris et al. US2002/0055753 05/09/02 Silvestrini US2002/0065555 05/30/02 Nigam US2003/0018347 01/23/03 Pallikaris et al. US2003/0018348 01/23/03 Pallikaris et al. 5,774,545 04/28/98 Rhee et al. 6,454,802 09/24/02 Bretton et al. 6,544,286 04/08/03 Perez US2003/0220653 11/27/03 Perez **FOREIGN PATENT DOCUMENTS** DOCUMENT NUMBER DATE COUNTRY CLASS SUBCLASS WO 02/06883 01/24/02 WIPO 2305608 04/16/97 UK 07/23/98 **WIPO** WO 98/31316 OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) AA Nader, Nicole. "Learning a new language: understanding the terminology of wavefront-guided ablation", Ocular Surgery News, Feb. 1st 2003, found on OSN Supersite, 5 pgs. AB "Licensing agreement for automated microkeratome-based device" found online at: http://www.opticalworld.co.uk/Aug%202002%20international outlook.htm, no author given, printed on September 13, 2002. AC Shimmura et al. "Collagen-Poly (N-Isopropylacrylamide)-Based Membranes for Corneal Stroma Scaffolds", Cornea Vol. 22(Suppl. 1):S81-8. AD Shimmural et al. "Biocompatibility of Collagen-Based Blended Biomaterials" Invest Ophthalmol Vis Sci 2002;43: E-Abstract 2997 (c) 2002 ARVO. AE Jeong et al., "Thermosensitive sol-gel reversible hydrogels" Adv. Drug Deliv. Rev., 54:37-51 (2002) Vernon et al., "Thermally Reversible Polymer Gels for Biohybrid Artificial Pancreas" Macromol. Symp., AF 109:155-167 (1996) Stile et al., "Synthesis and Characterization of Injectable Poly(N-isopropylacrylamide)-Based Hydrogels AG That Support Tissue Formation in Vitro" Macromolecules, 32:7370-9 (1999)

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**DATE CONSIDERED** 

**EXAMINER** 

Docket No.: 4108 Application No.: 10/661,400 Form PTO-1449 Applicant: Marmo et al. INFORMATION DISCLOSURE CITATION Filing Date: 09/12/03 Group Art Unit: 3738 IN AN APPLICATION (Use several sheets if necessary) **U. S. PATENT DOCUMENTS EXAMINER DOCUMENT NUMBER** NAME CLASS SUBCLASS **FILING DATE** INITIAL IF APPROPRIATE 4,780,409 10/25/88 Monji et al. 5,330,911 7/19/94 Hubbell et al. 2/29/00 6,030,634 Wu et al. 6,103,528 8/15/00 An et al. 6,271,278 8/7/01 Park et al. 11/27/01 Rhee et al. 6,323,278 6,384,105 05/7/02 He et al. Won et al. 5/14/02 6,388,047 6,566,406 5/20/03 Pathak et al. **FOREIGN PATENT DOCUMENTS DOCUMENT NUMBER** DATE COUNTRY CLASS SUBCLASS **TRANSLATION** YES NO WO 04/014969 02/19/04 **WIPO** 02/19/04 WO 04/015090 **WIPO** OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) AA Stile et al., "Poly(N-isopropylacrylamide)-Based Semi-interpenetrating Polymer Networks for Tissue Engineering Applications. 1. Effects of Linear Poly(acrylic acid) Chains on Phase Behaviour" Biomacromolecules 3:591-600 (2002) AB Stile et al., "Thermo-Responsive Peptide-Modified Hydrogels for Tissue Regeneration" Biomacromolecules 2:185-194 (2001) AC Hicks et al., "Keratoprostheses: Advancing Toward a True Artificial Cornea" Surv. Ophthalmol. 42:175-189 (1997) AD Trinkaus-Randall and Nugent, "Biological Response to a Synthetic Cornea" Controlled Release 53:205-Gutowska et al., "Thermosensitive Interpenetrating Polymer Networks: Synthesis, Characterization, and ΑE Macromolecular Release" Macromolecules, 27:4167-4175 (1994). AF Yoshida et al., "Comb-type Grafted Hydrogels with Rapid De-Swelling Response to Temperature Changes" Nature, 374:240-242 (1995). AG Lynn & Langer, "Degradable Poly(β-amino esters): Synthesis, Characterization, and Self-Assembly with Plasmid DNA" J. Amer. Chem. Soc., 122:10761-10768 (2000) **EXAMINER DATE CONSIDERED** EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered.

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Sheet 4 of 4 Docket No.: 4108 Application No.: 10/661,400 Form PTO-1449 Applicant: Marmo et al. INFORMATION DISCLOSURE CITATION Filing Date: 09/12/03 Group Art Unit: 3738 IN AN APPLICATION (Use several sheets if necessary) **U. S. PATENT DOCUMENTS** EXAMINER **DOCUMENT NUMBER** DATE NAME CLASS **SUBCLASS** FILING DATE INITIAL IF APPROPRIATE 5,722,971 03/03/98 Peyman 5,919,185 07/06/99 Peyman 5,964,748 10/12/99 Peyman 6,063,073 05/16/00 Peyman 6,197,019 03/06/01 Peyman 6,203,538 03/20/01 Peyman 04/17/01 6,217,571 Peyman 6,221,067 04/24/01 Peyman 6,280,470 08/28/01 Peyman 6,551,307 04/22/03 Peyman 6,702,807 03/09/04 Peyman 2003/0093083 05/15/03 Peyman 10/04/01 2001/0027314 Peyman 2001/0034516 10/25/01 Peyman 2002/0138069 09/26/02 Peyman 2004/0015234 01/22/04 Peyman **FOREIGN PATENT DOCUMENTS** DOCUMENT NUMBER DATE COUNTRY CLASS **SUBCLASS** TRANSLATION NO OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

**DATE CONSIDERED EXAMINER** 

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